

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,045	05/09/2001	Shoji Kawamura	OOCL-60 (US-P1442)

CONFIRMATION NO. 5075

## FORMALITIES LETTER



\*OC000000006296386\*

STRAUB & POKOTYLO  
Bldg. 6  
Suite 83  
1 Bethany Road  
Hazlet, NJ 07730

Date Mailed: 07/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/14/2001 AWKDAF1 00000012 09853045

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130.00 OP



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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: OOCL-60 (US-P1442)

Applicants: Shoji KAWAMURA, Azuma MIYAZAWA

Serial No.: 09/853,045

Filing Date: May 9, 2001

Title: **PRINTER DEVICE**

Examiner: **Not yet assigned**

Group Art Unit: 2852

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Sir:

**MISSING PARTS TRANSMITTAL**

In response to a "Notice to File Missing Parts of Application" (PTO-1533) mailed on July 13, 2001 and setting a time for response to expire on September 13, 2001, in connection with the above-captioned patent application, applicants enclose the following:


- ☒ Postcard receipt;
- ☐ **Assertion of Small Entity Status -**  
Small Entity Status is hereby claimed;
- ☒ Executed Declaration and Power of Attorney (3 pgs.);
- ☐ Copy of application as filed;
- ☐ English translation document;
- ☒ Assignment with Recordation Form Cover Sheet  
(in duplicate) to Olympus Optical Co., Ltd. (4 pgs.);
- ☐ Information Disclosure Statement, modified PTO-1449,  
and (0) cited reference(s);
- ☒ Copy of PTO-1533 (Notice to File Missing Parts)  
issued in connection with this application;

- ☒ A claim to priority (included in declaration);  
☐ Submission of Priority Document and Certified copy  
of \_\_\_\_\_ Application No. \_\_\_\_\_, filed  
\_\_\_\_\_; and  
☒ Our check in the amount of \$ 880.00 to cover the  
basic filing fee of \$ 710.00, the surcharge under  
37 CFR 1.16(e) in the amount of \$ 130.00 for late  
filing of the declaration, and an assignment  
recordation fee of \$ 40.00.

In the event the enclosed check is unacceptable,  
insufficient or is omitted, kindly charge the additional or  
entire cost, as appropriate, to the deposit account of  
**STRAUB & POKOTYLO**, deposit account number 50-1049. To  
facilitate that charge, a duplicate copy of this letter is  
enclosed herewith.

Respectfully submitted,


August 8, 2001

  
John C. Pokotylo, Attorney  
Reg. No. 36,242  
Customer No. 26479  
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STRAUB & POKOTYLO  
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**CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being  
deposited on **August 8, 2001** with the United States Postal  
Service as first class mail, with sufficient postage, in an  
envelope addressed to the Assistant Commissioner for  
Patents, **Box Missing Parts**, Washington, D.C. 20231.

  
John C. Pokotylo  
36,242  
Reg. No.